1	PROGRAMMATIC AGREEMENT
2	among
3	THE U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT
4	and
5	THE NEVADA STATE HISTORIC PRESERVATION OFFICER
6	and
7	CERTAIN NEVADA UNITS OF GENERAL LOCAL GOVERNMENT ACTING AS RESPONSIBLE
8	ENTITIES
9	and
10	CERTAIN FEDERALLY RECOGNIZED TRIBAL GOVERNMENTS ACTING AS RESPONSIBLE
11	ENTITIES
12	and
13	THE ADVISORY COUNCIL ON HISTORIC PRESERVATION
14	for
15	THE REVIEW OF HUD-ASSISTED PROJECTS AND PROGRAMS SUBJECT TO
16	24 CFR PART 50 and PART 58
17	in
18	THE STATE OF NEVADA
19	
20	WHEREAS, the U.S. Department of Housing and Urban Development ("HUD") through various
21	offices, including the Offices of the Assistant Secretaries for Housing—Federal Housing
22	Commissioner (Housing), Public and Indian Housing (PIH), Community Planning and
23	Development (CPD), and Office of Healthy Homes (OHH) provides grant funding, mortgage
24	insurance, and other assistance ("HUD Programs") to a range of entities within the State of
25	Nevada; and
	rierada, and
26	WHEREAS, HUD Programs are authorized by various statutes that require environmental
27	compliance under one of two HUD environmental regulations, 24 CFR Part 50 or 24 CFR Part 58
28	and
29	WHEREAS, 24 CFR Part 50 requires HUD program officials to conduct environmental review of
30	its activities and ensure compliance with Section 106 of the National Historic Preservation Act
31	of 1966 (hereinafter NHPA; 54 U.S.C. § 306108), and its implementing regulations 36 CFR Part
32	800; and
33	WHEREAS, 24 CFR Part 58 authorizes state, local, and tribal governments to assume HUD's
34	environmental compliance responsibilities as Responsible Entities, including obligations as
35	Agency Official under Section 106 of the NHPA and its implementing regulations 36 CFR Part

- 36 800, and the State of Nevada and and certain units of general local government in the State
- of Nevada have assumed those responsibilities for the undertakings and programs included in
- 38 this Programmatic Agreement (Agreement); and
- 39 WHEREAS, HUD program officials and Responsible Entities review activities that include, but are
- 40 not limited to, acquisition, leasing, repair, rehabilitation, improvement, demolition, conversion,
- 41 new construction, lead hazard reduction, accessibility improvements, land-banking, and
- 42 disposition of residential and non-residential properties, streetscape and landscape
- improvements, and infrastructure repair, replacement, and new construction, each of which is
- an Undertaking as defined pursuant to 36 CFR § 800.16(y), and

- 46 WHEREAS, the implementation of HUD Programs may have an effect upon properties listed in
- 47 or eligible for listing in the National Register of Historic Places (National Register); and
- 48 WHEREAS, many HUD-assisted Undertakings consist of routine, similar, and repetitive
- 49 management activities that are appropriate for implementation through the use of an
- 50 agreement document negotiated pursuant to 36 CFR § 800.14(b) and/or involve effects that are
- 51 minimal or have no potential to adversely affect historic properties and can be exempted from
- the regular Section 106 review process; and
- 53 WHEREAS, HUD and Responsible Entities in the State of Nevada, in consultation with the
- Nevada State Historic Preservation Office (SHPO), have determined that development of a
- 55 single Agreement for all HUD Programs in the State of Nevada would foster consistency and
- 56 efficiency in review of Undertakings unlikely to cause adverse effects on historic properties; and
- 57 WHEREAS, HUD, on behalf of itself and participating Responsible Entities has invited the
- 58 Colorado River Indian Tribes of the Colorado River Indian Reservation, Confederated Tribes of
- 59 the Goshute Reservation, Confederated Tribes of the Warm Springs Reservation, Duckwater
- 60 Shoshone Tribe, Ely Shoshone Tribe, Fort Independence Indian Community of Paiute Indians,
- 61 Fort McDermitt Paiute and Shoshone Tribes of the Fort McDermitt Indian Reservation, Fort
- 62 Mojave Indian Tribe, Hualapai Indian Tribe, Kaibab Band of Paiute Indians, Las Vegas Tribe of
- 63 Paiute Indians, Lovelock Paiute Tribe, Moapa Band of Paiute Indians, Paiute Indian Tribe of Utah
- 64 (Cedar Band of Paiutes, Kanosh Band of Paiutes, Koosharem Band of Paiutes, Indian Peaks Band
- of Paiutes, and Shivwits Band of Paiutes), Paiute-Shoshone Tribe of the Fallon Reservation and
- 66 Colony, Pyramid Lake Paiute Tribe, Reno-Sparks Indian Colony, Shoshone Tribe of the Wind
- 67 River Reservation, Shoshone-Bannock Tribes of the Fort Hall Reservation, Shoshone-Paiute
- 68 Tribes of the Duck Valley Reservation, Summit Lake Paiute Tribe, Te-Moak Tribe of Western
- 69 Shoshone Indians, Walker River Paiute Tribe, Washoe Tribe of Nevada & California (Carson
- 70 Colony, Dresslerville Colony, Woodfords Community, Stewart Community, & Washoe Ranches),
- 71 Winnemucca Indian Colony, Yerington Paiute Tribe of the Yerington Colony and Campbell

72 Ranch, and the Yomba Shoshone Tribe to consult in the development of this Agreement in recognition of the unique government-to-government relationship between the federal 73 74 government and federally recognized Indian tribes, and all those tribes who participated in the consultation to develop this agreement are invited to be concurring parties; and 75 76 WHEREAS, this Agreement is not applicable to Undertakings that are located on or that would 77 affect historic properties on tribal trust lands; and 78 WHEREAS, HUD and the participating Responsible Entities will continue to conduct outreach 79 and will actively seek and request the comments and participation of Indian tribes that 80 attach religious and cultural significance to historic properties that may be affected by Undertakings assisted under the terms of this Agreement; and 81 82 WHEREAS, HUD and the participating Responsible Entities acknowledge that Indian tribes 83 possess special expertise in assessing the National Register eligibility of properties with tribal 84 religious and cultural significance; and 85 WHEREAS, HUD, on behalf of itself and participating Responsible Entities, has invited Certified Local Governments (Boulder City, Carson City, Las Vegas, Reno, Storey County, Winnemucca), 86 87 Nevada Preservation Foundation, Historic Reno Preservation Society, Preserve Nevada, and the 88 Preservation Association of Clark County to comment and consult in the development of this Agreement, and [placeholder for response]; and 89 90 WHEREAS, HUD, on behalf of itself and participating Responsible Entities has invited the public 91 to comment, taking into account the nature and complexity of the Undertaking and its effects on historic properties and the likely interest of the public in the Undertaking; and 92 WHEREAS, HUD, on behalf of itself and participating Responsible Entities, has notified the ACHP 93 and invited the ACHP to participate in the development of this Programmatic Agreement in 94 accordance with 36 CFR § 800.14(b)(2), and the ACHP has decided to participate and sign this 95 96 Agreement; and 97 NOW, THEREFORE, HUD, the participating Responsible Entities, the SHPO, and the ACHP 98 agree that HUD Housing, PIH, CPD, and OHH Programs in the State of Nevada shall be 99 administered in accordance with the following stipulations in order to take into account the 100 effects of these Undertakings on historic properties and satisfy Section 106 responsibilities. 101

103 STIPULATIONS

HUD and the participating Responsible Entities shall ensure the following:

I. Roles and Responsibilities of HUD and Responsible Entities

The HUD official and participating Responsible Entity's Certifying Officer shall serve as Agency Official for the review of Undertakings subject to their jurisdiction. Where "Agency Official" is used throughout the Agreement, it refers to the HUD official or Responsible Entity's Certifying Officer that has assumed the role of Agency Official for purposes of Section 106. A chart in Appendix C indicates whether 24 CFR Part 50 or 24 CFR Part 58 applies to the HUD programs listed in the chart.

A. HUD

- 1. HUD, through its Office of Environment and Energy (OEE) in CPD, shall coordinate the initial execution of the Agreement and subsequent execution by additional Responsible Entities, and coordinate amendments to the Agreement.
- 2. HUD, through OEE, shall conduct statewide consultation about the Agreement among Responsible Entities, the SHPO, federally recognized Indian tribes, interested statewide groups, and the public.
- 3. HUD program staff shall serve as Agency Official for conducting Section 106 review of individual Undertakings subject to 24 CFR Part 50.
- 4. HUD shall not have the legal responsibility to represent or defend the process and outcomes of the Section 106 review of individual Undertakings subject to 24 CFR Part 58 before a court of law. That responsibility is assumed by the Responsible Entity under 24 CFR Part 58.
- 5. If HUD receives Undertaking-specific complaints regarding a Responsible Entity's implementation of Stipulations II-IX of this Agreement, HUD will follow agency policy described at 24 CFR 58.77(b) by referring all such inquiries and complaints to the Responsible Entity and its Certifying Officer and consider such comments in HUD's established agency-wide and program-specific risk-assessment and monitoring procedures. As appropriate, HUD may provide technical assistance to help the Responsible Entity fulfill its responsibilities under this Agreement.

B. Responsible Entities

1. The Certifying Officer of a Responsible Entity that has assumed HUD's environmental review responsibilities as provided by 24 CFR 58.4 and signed this Agreement shall

- conduct the Section 106 review in accordance with this Agreement as the Agency
 Official.
 - 2. Responsible Entities that are not initial Signatories to the Agreement may sign and use the Agreement after its initial execution by following the adoption protocol found at Appendix B and executing a Signatory page.
 - 3. Participating Responsible Entities shall not have the legal responsibility to represent or defend the process and outcomes of the Section 106 review of individual Undertakings subject to 24 CFR Part 50 before a court of law, including those that are located within their geographic jurisdiction. That responsibility is assumed by HUD under 24 CFR Part 50.

C. Designation of Lead Agency Official in HUD-assisted Projects

1. If a project includes assistance subject to 24 CFR Part 58 and Part 50, the two (or more) Agency Officials may agree to have one Agency Official serve as the Lead Agency Official and complete one Section 106 review on behalf of all entities. If the Lead Agency Official is a Signatory to the Agreement, they may use the provisions of the Agreement for the review. The Agency Officials shall document the lead agency agreement in writing and share it with consulting parties.

D. Adoption of Another Federal Agency's Review

- 1. If a federal agency or other entity acting under another federal program has previously completed a Section 106 review and approved the Undertaking within the past five (5) years, the Agency Official has no obligation to complete another Section 106 review regarding that Undertaking, provided that the Agency Official:
 - a. Adopts the findings and determinations of the previous Section 106 review;
 - b. Confirms that the scope and effect, as defined by 36 CFR § 800.16(i), and the area of potential effects of its Undertaking are the same as the scope and effect and the area of potential effects of the previously reviewed Undertaking;
 - c. Determines that the previous review was completed in compliance with Section 106, and any existing applicable program alternatives;
 - d. Documents its findings and determinations in the HUD Environmental Review Online System (HEROS) or other administrative record and confirms that all requirements of Section 106 for the Undertaking have been satisfied.
- 2. If the Agency Official, in consultation with the SHPO, determines that the previous Section 106 review was insufficient or involved interagency disagreements about

eligibility, effect, and/or treatment measures, the Agency Official shall conduct a new Section 106 review for the proposed Undertaking in accordance with the Stipulations of this Agreement.

E. Delegation to HUD Applicants or Lenders to Initiate Section 106 Consultation

- 1. HUD, through OEE, may authorize applicants to initiate consultation with SHPO pursuant to 36 CFR § 800.2(c)(4).
- 2. OEE has issued a <u>Delegation Memorandum</u> that authorizes certain approved lenders applying for FHA financing to initiate consultation with SHPO. Approved lenders must follow the requirements of the memo and may use the provisions of this Agreement, including exemptions in Stipulation II and Appendix A. If the Delegation Memorandum expires, it may no longer be used, and lenders may no longer use the provisions of this Agreement.
- 3. When required, HUD must conduct consultation with tribes; this cannot be delegated to lenders.

II. UNDERTAKINGS EXEMPT FROM SECTION 106 REVIEW

- The following types of activities have limited or no potential to adversely affect historic properties and are exempt from further Section 106 review pursuant to 36 CFR § 800.3(a)(1). To document and conclude the review, the Agency Official shall note in HEROS or other administrative record the applicability of one or more of the exemptions.
 - A. Activities that are Categorically Excluded and not subject to related laws under 24 CFR § 50.19(b) when the HUD official is the Agency Official.
 - B. Activities that do not alter physical conditions in a manner or to an extent that would require review (i.e. funding studies, providing management funds, or approving policy documents)
 - C. Activities that are Exempt under 24 CFR 58.34 or Categorically Excluded and not subject to related laws under 24 CFR 58.35(b) when the Responsible Entity's Certifying Officer is the Agency Official.
 - a. Tenant-based rental assistance;
 - b. Supportive services including, but not limited to, health care, housing services, permanent housing placement, day care, nutritional services, short-term payments for rent/mortgage/utility costs, and assistance in gaining access to local, State, and Federal government benefits and services;

c. Operating costs including maintenance, security, operation, utilities, furnishings,
 equipment, supplies, staff training and recruitment and other incidental costs;

- d. Economic development activities, including but not limited to, equipment purchase, inventory financing, interest subsidy, operating expenses and similar costs not associated with construction or expansion of existing operations;
- e. Activities to assist homebuyers to purchase existing dwelling units or dwelling units under construction, including closing costs and down payment assistance, interest buydowns, and similar activities that result in the transfer of title;
- f. Affordable housing pre-development costs including legal, consulting, developer and other costs related to obtaining site options, project financing, administrative costs and fees for loan commitments, zoning approvals, and other related activities which do not have a physical impact;
- g. Approval of supplemental assistance (including insurance or guarantee) to a project previously approved under this part, if the approval is made by the same Responsible Entity that conducted the environmental review on the original project and re-evaluation of the environmental findings is not required under 24 CFR § 58.47.
- D. Refinancing without demolition, rehabilitation, or new construction, and no physical activities beyond maintenance as defined in HUD Notice CPD-16-02 "Guidance for Categorizing an Activity as Maintenance for Compliance with HUD Environmental Regulations, 24 CFR Parts 50 and 58".
- E. Leasing without demolition, rehabilitation, or new construction, and no physical activities beyond maintenance as defined in HUD Notice CPD-16-02 "Guidance for Categorizing an Activity as Maintenance for Compliance with HUD Environmental Regulations, 24 CFR Parts 50 and 58".
- E. Projects without ground disturbance that involve single-family (1-4 unit) residential structures, including mobile homes, that are less than 50 years old, unless the property is located within or adjacent to a historic district listed in the National Register, or is in an area that consists primarily of buildings that were constructed more than 50 years ago.
- F. Undertakings that are limited to the rehabilitation of interior spaces within single family (1-4 unit) residential structures, where such work will not be clearly visible from the exterior of the structure, unless the individual building has previously been determined eligible for or listed in the National Register.
- G. Undertakings consisting solely of activities listed in Appendix A.

III. REVIEW OF UNDERTAKINGS NOT EXEMPTED IN STIPULATION II

For all Undertakings with activities that do not meet the criteria in Stipulation II, the Agency
Official shall conduct reviews of the entire aggregated Undertaking as defined in CFR Parts
50.21 and 58.32, and in accordance with Subpart B of 36 CFR 800 and Stipulations IV through IX
of this Agreement which provide additional guidance on meeting Subpart B in review of HUD-

IV. IDENTIFICATION OF HISTORIC PROPERTIES

assisted Undertakings.

- A. The Agency Official shall define and document the Area of Potential Effect (APE) and identify historic properties within the APE that may be directly or indirectly affected by the Undertaking. For purposes of this Agreement, when an Undertaking consists solely of the rehabilitation of the interior features of an individual building, the APE will be limited to the individual building and parcel.
- B. For each Undertaking, the Agency Official shall make a reasonable and good faith effort to identify historic properties in accordance with 36 CFR § 800.4(b)(l) by reviewing existing information, including the records of the SHPO, to determine if any historic properties are located within the APE, including properties listed in the National Register individually or as contributing elements of an historic district, properties with a Determination of Eligibility (DOE) from the Secretary of the Interior, properties determined eligible for the National Register through a previous Section 106 review, and properties designated in SHPO and local survey and inventory records. The Agency Official may consult with the SHPO to determine the level of effort and methodology necessary to identify a variety of historic property types. Much of this information is available online through county assessor information and the SHPO's Nevada Cultural Resource Information System (NVCRIS) (https://shpo.nv.gov/services/nvcris).
- C. For any properties that have not been listed or previously determined eligible for listing in the National Register, the Agency Official must evaluate any properties in the APE that are forty- five (45) years old or older to determine if they are eligible for the National Register, and offer the SHPO, consulting tribes, and other consulting parties the opportunity to review and comment on this evaluation and determination of eligibility per 36 CFR § 800.4(c)(2). Unevaluated properties within the APE that are at least forty-five years old may be treated by the Agency Official as eligible for the National Register for the purpose of determining effect of specific Undertaking.
- D. The Agency Official shall use HUD Notice <u>CPD-12-006</u> ("Process for Tribal Consultation in Projects that are Reviewed Under 24 CFR Part 58") and the May 5, 2015, <u>HUD policy</u>

memorandum ("Section 106 Tribal Consultation in Projects Reviewed Under 24 CFR Part 50") that adopts the Notice for Part 50 reviews for guidance on when and how to consult with Indian tribes about sites of religious and cultural significance to tribes, including archeological sites, that may be considered historic properties.

V. DOCUMENTATION

- A. Documentation required for SHPO consultation per the provisions of this Agreement shall meet the requirements in 36 CFR § 800.11 and include, but may not be limited to, written descriptions of the Undertaking and affected historic properties (if any), reports demonstrating that properties are eligible (or not) for listing in the National Register, findings of effect, alternatives analysis, maps showing specific Undertaking locations and APEs, and clear photographs.
- B. All documentation generated for review purposes under the terms of this Agreement shall meet requirements specific to the State of Nevada.

VI. TIMEFRAMES

- A. The SHPO, tribes, and other consulting parties shall have thirty (30) days from receipt to review and respond to an adequately documented request for comment and concurrence. If the SHPO does not respond within thirty (30) days of receipt of the request for concurrence, the consultation shall be considered complete. If the SHPO later reenters the consultation, the Agency Official may continue the consultation without being required to reconsider previous findings or determinations.
- B. If the SHPO requests additional missing information in order to meet documentation requirements under Stipulation V.B., the SHPO shall have thirty (30) days from receipt of the additional information to respond.
- 293 C. When findings are submitted to the SHPO, the Agency Official shall also circulate findings to consulting parties for comment or objection within thirty (30) days.

VII. CONSULTING PARTIES AND PUBLIC INVOLVEMENT

A. The Agency Official shall identify and invite the participation of consulting parties as appropriate to the scale of the Undertaking and the scope of federal involvement. Undertakings with anticipated adverse effects and/or that may be controversial will likely warrant broader consultation. Parties that may have a consultative role in the Section 106 process include, but are not limited to, the following: federally recognized Indian tribes, representatives of local governments, and applicants for federal assistance. The Agency Official shall also identify and invite the participation of other

consulting parties, defined as those individuals and organizations with a demonstrated interest due to the nature of their legal or economic relationship to the Undertaking or their concern for the Undertaking's effects on historic properties. Other consulting parties can include county and municipal historic preservation commissions, including those established under the Certified Local Governments (CLG) program, local residents' groups, neighborhood associations, and other similar organizations.

- B. The Agency Official shall identify and invite the participation of the public as appropriate to the scale of the Undertaking and the scope of federal involvement. Undertakings with anticipated adverse effects and/or that may be controversial may warrant broader public consultation.
- C. The Agency Official shall follow HUD Notice <u>CPD-12-006</u> ("Process for Tribal Consultation in Projects That Are Reviewed Under 24 CFR Part 58") and the May 5, 2015, <u>HUD policy memorandum</u> ("Section 106 Tribal Consultation in Projects Reviewed Under 24 CFR Part 50") that adopts the Notice for Part 50 reviews.
- D. If an Undertaking may adversely affect a National Historic Landmark, the Agency Official shall follow the requirements in Section 110f of the NHPA and 36 CFR § 800.10.

VIII. POST-REVIEW DISCOVERIES

If, during the implementation of an Undertaking, a previously unidentified property that may be eligible for inclusion in the National Register is encountered, or a known historic property may be affected in an unanticipated manner, the Agency Official shall take the following steps.

- A. In the event that previously unidentified archeological sites or human remains are discovered during project construction, construction activities shall stop immediately within at least 100 feet of the discovery, and the project manager shall take appropriate steps to immediately secure the site and notify the Agency Official within 48 hours. The Agency Official shall immediately notify the SHPO and, as appropriate, federally recognized Indian tribes, and other relevant consulting parties.
- B. If human remains are discovered, they shall be respectfully covered over and protected. In addition, the project manager shall immediately notify local or state law enforcement authorities including medical examiner or coroner in accordance with Nevada Revised Statutes (NRS) Chapters 259, 383, and 451.
- C. The Agency Official shall consult with the SHPO, and, as appropriate, federally recognized Indian tribes and other consulting parties to determine if the discovered site appears eligible for the National Register. If it does appear eligible, the Agency Official

shall submit a treatment plan for the avoidance, protection, recovery of information, or destruction without data recovery to the SHPO for review and comment. The treatment plan shall be consistent with the ACHP's handbook "Treatment of Archaeological Properties" and subsequent amendments. If human remains are discovered, the treatment plan shall follow the guidance in the Advisory Council on Historic Preservation Policy Statement Regarding Treatment of Burial Sites, Human Remains and Funerary Objects (https://www.achp.gov/treatment-burial-sites), including appropriate consultation with descendent communities. Avoidance and preservation in place are the preferred options for treating human remains.

- D. The Agency Official shall notify all consulting parties of the unanticipated discovery and provide the proposed treatment plan for their comment. Construction work in the area of the discovery shall not continue until the plan has been accepted by the SHPO and appropriate tribes, and implemented. If objections to the proposed treatment plans cannot be resolved, the ACHP shall be consulted as per Stipulation XI.
- E. The procedure upon discovery of Indian burial sites in the State of Nevada on private or public lands is governed by Nevada Revised Statutes (NRS) 383.171, and any Undertaking that may affect an Indian burial site shall comply with provisions of NRS 383.171, the terms of this Agreement notwithstanding.

IX. DISASTERS AND EMERGENCY REVIEW PROCEDURES

The Agency Official from time to time may review Undertakings in response to natural disasters, including, but not limited to, floods, tornadoes, earthquakes, windstorms, fires, and public health emergencies.

A. Exemption from Review

1. Immediate rescue and salvage operations conducted to save life or property are exempt from Section 106 review requirements per 36 CFR § 800.12(d).

B. Expedited Review

1. In response to a disaster or emergency situation declared by the President, a tribal government, or the Governor of the State of Nevada, the Agency Official may conduct expedited reviews of emergency Undertakings that occur within 30 (thirty) days of the disaster declaration or within 30 (thirty) days of the completion of emergency event pursuant to 36 CFR § 800.12(b). SHPO shall respond to a request for comment within 7 (seven) days. If a disaster is declared by an Responsible Entity's chief executive officer or legislative body under 36 CFR § 800.12(c), the

- Agency Official may follow the same process, unless the ACHP or SHPO objects to the action within the 7 (seven) days.
 - 2. Should the Agency Official determine that it is necessary to extend the expedited review period for emergency Undertakings beyond the initial 30 (thirty) days, the Agency Official may request an extension prior to expiration of the review period in 30-day increments, as needed, by notifying in writing the ACHP, and notify SHPO and participating Indian tribe(s).
 - C. Review Under Separate Programmatic Agreement
 - An Responsible Entity with an future executed and valid Programmatic Agreement for CDBG-DR funds or a HUD Addendum to the FEMA Programmatic Agreement for the State of Nevada for disaster recovery activities may use the provisions of that Agreement and successor Agreements to expedite review of Undertakings that respond to Presidentially declared disasters

X. MONITORING, REPORTING, AND TRAINING

371

372

373374

375

376

377

378

379

380

381

382

383 384

385

386

387

388

389

390

391

392

393

396

397

398

399

- A. The Agency Official shall provide the SHPO with an Annual Report no later than February 28th of each year summarizing all projects in the completed Fiscal Year that were exempted from review under this Agreement and, if requested by the SHPO, shall schedule a meeting with them to discuss the Annual Report.
- B. This Annual Report shall include, but not be limited to, the following:
 - 1. A list of Undertakings that used the exemptions in Stipulation II and Appendix A of the Agreement to conclude Section 106 without further consultation with the SHPO, categorized by the project location and the specific exemptions used;
 - 2. A summary of staff and consultant training held;
 - 3. A description of staff and consultant training proposed for the following year;
 - 4. The views of the Agency Official regarding the effectiveness of the Agreement; and
- 5. Suggestions for additional actions that could be considered for inclusion in the list of exemptions (Appendix A).
 - C. Upon written request from one or more of the Signatories of the Agreement, HUD may conduct training workshops or webinars to assist Agency Officials, HUD grant recipients, and consultants to understand the technical requirements of the Agreement.

XI. DISPUTE RESOLUTION

At any time during the implementation of the measures stipulated in this Agreement, should an objection to any measure or manner of implementation be raised by a consulting party or member of the public, the Agency Official shall take the objection into account and consult with the objecting party and the SHPO to resolve the issue.

- A. The Agency Official shall represent itself in all matters of dispute resolution that pertain specifically to this Agreement.
- B. The responsibilities of the Signatories to carry out all other actions subject to the terms of this Agreement that are not the subject of the dispute shall remain in effect.
- C. If the Agency Official determines that the objection cannot be resolved, they shall forward all documentation relevant to the dispute to the ACHP and request its recommendations or comments. The Agency Official will take into account the ACHP's recommendations or formal comments in reaching a final decision regarding the dispute.
- D. If the ACHP does not provide its advice regarding the dispute within thirty (30) days period, the Agency Official may make a final decision on the dispute and proceed accordingly. Prior to reaching such a final decision, the Agency Official shall prepare a written response that takes into account any timely comments regarding the dispute from the Signatories and Concurring Parties to the Agreement and provide them and the ACHP with a copy of such written response.

XII. AMENDMENTS

- A. Any Signatory may submit a written request to HUD that this Agreement be amended. HUD will notify the other Signatories and consult with them in accordance with 36 CFR § 800.14(b). With the exception of requests made under Stipulation XII.B. below, amendments shall only be considered if requested in writing and must be approved in writing by all Signatories.
- B. Appendix A Exempt Activities may be amended without requiring amendment to the body of this Agreement at the request of an Agency Official who is a Signatory to the Agreement. HUD, on its own behalf or on behalf of another Signatory, shall notify the Signatories of the intent to modify Appendix A and shall provide a draft of the updated Appendix to all Signatories. If no Signatory objects in writing within 30 (thirty) days of receipt of the proposed modification, HUD shall date and sign the amended Appendix and provide a copy of the amended Appendix to the other Signatories. Such an amended Appendix A shall go into effect on the date HUD transmits the amended Appendix A to the other Signatories. If a Signatory objects to the proposed amendment

to Appendix A, HUD shall continue consultation to reach consensus, and if not resolved, the amendment shall not be implemented.

XIII. TERMINATION

- A. A Signatory may terminate its participation in this Agreement by providing written notice describing the reason(s) for termination to the other parties. If the SHPO or ACHP terminates, the Agreement with all Agency Officials is terminated. If a Responsible Entity's Agency Official terminates, the Agreement is terminated only for activities subject to that Agency Official's Section 106 review under 24 CFR Part 58 and remains in effect for other participating Agency Officials. If HUD, represented by the Assistant Secretary for Community Planning and Development, terminates, the Agreement is terminated only for activities subject to HUD's Section 106 review under 24 CFR Part 50 and remains in effect for other participating Agency Officials' activities under 24 CFR Part 58.
- B. This Agreement shall remain in effect for 45 (forty-five) days from receipt of notice to terminate. The Signatory proposing termination, HUD through OEE, the SHPO, and the ACHP shall consult prior to termination to consider the written reasons for termination and if possible, to amend the Agreement accordingly or seek other actions that would prevent termination. If such action is not possible, the requesting signatory shall provide final notice of termination in writing to the other Signatories.
- C. In the event that an Agency Official terminates their participation in this Agreement and prior to any work continuing on any Undertaking, the Agency Official shall comply with 36 CFR Part 800 for all Undertakings that would have been subject to this Agreement. In the event that the SHPO or ACHP terminates the Agreement and prior to work continuing on any Undertaking, all Agency Officials shall comply with 36 CFR Part 800 for all Undertakings that would have been subject to this Agreement.

XIV. DURATION

- A. This Agreement shall remain in force for ten (10) years from the date of execution, unless it is terminated or superseded by another Agreement.
 - B. This Agreement may be terminated by the execution of a subsequent Agreement pursuant to 36 CFR § 800.14(b) that explicitly terminates or supersedes this Agreement.
 - C. Within six (6) months prior to the expiration of this Agreement, the Signatories shall consult to consider terms for a new Agreement, extension, or amendment of the terms of the existing Agreement, or to allow the Agreement to expire.

- D. The Signatories may collectively agree to extend this Agreement to cover additional calendar years, or portions thereof, through an amendment in accordance with Stipulation XII.A., provided that the original Agreement has not expired.
 - E. Should an Agency Official choose not to extend their participation in the Agreement, they shall terminate their participation per Stipulation XIII, and the Agreement shall remain valid for the other parties.

XV. EXECUTION AND IMPLEMENTATION

This Agreement may be executed in counterparts, with separate signature pages. After signature by HUD, the SHPO, and the initial participating Responsible Entities, the Agreement will become effective on the date it is signed by ACHP. Responsible Entities that sign later must follow the protocol in Appendix B. For Responsible Entities that sign later, the Agreement will become effective for them on the date on which the Responsible Entity signs the Agreement and submits its signature page to HUD, through OEE, and the SHPO.

Execution of this Agreement and implementation of its terms evidence that HUD and participating Responsible Entities have taken into account the effects of the Undertakings subject to this Agreement on historic properties and afforded the ACHP an opportunity to comment.

485	PROGRAMMATIC AGREEMENT
486	among
487	THE U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT
488	and
489	THE NEVADA STATE HISTORIC PRESERVATION OFFICER
490	and
491	CERTAIN NEVADA UNITS OF GENERAL LOCAL GOVERNMENT ACTING AS RESPONSIBLE
492	ENTITIES
493	and
494	CERTAIN FEDERALLY RECOGNIZED TRIBAL GOVERNMENTS ACTING AS RESPONSIBLE
495	ENTITIÉS
496	and
497	THE ADVISORY COUNCIL ON HISTORIC PRESERVATION
498	for
499	THE REVIEW OF HUD-ASSISTED PROJECTS AND PROGRAMS SUBJECT TO
500	24 CFR PART 50 and PART 58
501	in
502	THE STATE OF NEVADA
503	
504	
505	Signatory:
506	US Department of Housing and Urban Development
507	
508	
509	By: [Name] Date
510	[Title]
511	
512	
513	
514	
515 516	
517	
518	
519	
520	
521	
522	
523	

524	PROGRAMMATIC AGREEMENT
525	among
526	THE U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT
527	and
528	THE NEVADA STATE HISTORIC PRESERVATION OFFICER
529	and
530	CERTAIN NEVADA UNITS OF GENERAL LOCAL GOVERNMENT ACTING AS RESPONSIBLE
531	ENTITIES
532	and
533	CERTAIN FEDERALLY RECOGNIZED TRIBAL GOVERNMENTS ACTING AS RESPONSIBLE
534	ENTITIES
535	and
536	THE ADVISORY COUNCIL ON HISTORIC PRESERVATION
537	for
538	THE REVIEW OF HUD-ASSISTED PROJECTS AND PROGRAMS SUBJECT TO
539	24 CFR PART 50 and PART 58
540	in
541	THE STATE OF NEVADA
542	
543	
544	Signatory:
545	Nevada State Historic Preservation Officer
546	
547	
548	By: [Name] Date
549	[Title]
550	
551	
552	
553	
554	
555	
556	
557	
558	
559	
560	
561	

562	PROGRAMMATIC AGREEMENT
563	among
564	THE U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT
565	and
566	THE NEVADA STATE HISTORIC PRESERVATION OFFICER
567	and
568	CERTAIN NEVADA UNITS OF GENERAL LOCAL GOVERNMENT ACTING AS RESPONSIBLE
569	ENTITIES
570	and
571	CERTAIN FEDERALLY RECOGNIZED TRIBAL GOVERNMENTS ACTING AS RESPONSIBLE
572	ENTITIÉS
573	and
574	THE ADVISORY COUNCIL ON HISTORIC PRESERVATION
575	for
576	THE REVIEW OF HUD-ASSISTED PROJECTS AND PROGRAMS SUBJECT TO
577	24 CFR PART 50 and PART 58
578	in
579	THE STATE OF NEVADA
580	
581	
582	Signatory:
583	Advisory Council on Historic Preservation
584	
585	
586	By: [Name] Date
587	[Title]
588	
589	
590	
591	
592	
593	
594	
595	
596	
597	
598	
599	

600	PROGRAMMATIC AGREEMENT
601	among
602	THE U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT
603	and
604	THE NEVADA STATE HISTORIC PRESERVATION OFFICER
605	and
606	CERTAIN NEVADA UNITS OF GENERAL LOCAL GOVERNMENT ACTING AS RESPONSIBLE
607	ENTITIES
608	and
609	CERTAIN FEDERALLY RECOGNIZED TRIBAL GOVERNMENTS ACTING AS RESPONSIBLE
610	ENTITIES
611	and
612	THE ADVISORY COUNCIL ON HISTORIC PRESERVATION
613	for
614	THE REVIEW OF HUD-ASSISTED PROJECTS AND PROGRAMS SUBJECT TO
615	24 CFR PART 50 and PART 58
616	in
617	THE STATE OF NEVADA
618	
619	
620	Invited Signatory:
621	[Other consulting party with role in implementation of the Agreement]
622	
623	
624	By: [Name] Date
625	[Title]
626	
627	
628	
629	
630	
631	
632	
633	
634	
635	
636	
637	

638	PROGRAMMATIC AGREEMENT
639	among
640	THE U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT
641	and
642	THE NEVADA STATE HISTORIC PRESERVATION OFFICER
643	and
644	CERTAIN NEVADA UNITS OF GENERAL LOCAL GOVERNMENT ACTING AS RESPONSIBLE
645	ENTITIES
646	and
647	CERTAIN FEDERALLY RECOGNIZED TRIBAL GOVERNMENTS ACTING AS RESPONSIBLE
648	ENTITIES
649	and
650	THE ADVISORY COUNCIL ON HISTORIC PRESERVATION
651	for
652	THE REVIEW OF HUD-ASSISTED PROJECTS AND PROGRAMS SUBJECT TO
653	24 CFR PART 50 and PART 58
654	in
655	THE STATE OF NEVADA
656	
657	
658	Signatory:
659	Responsible Entity
660	
661	
662	By: Name of Certifying Officer Date
663	Title
664	
665	
666	
667	
668	
669	
670	
671	
672	
673	
674	
675	

APPENDIX A 676 677 **Exempt Activities** 678 679 In accordance with Stipulation II.G. above, Undertakings that are comprised solely and entirely 680 of the following activities require no further Section 106 review. Applicability of the 681 exemption(s) must be documented in HEROS or other administrative record. For purposes of this Agreement, the term "In-kind repair" is defined as an action to restore the 682 mechanical, structural, or aesthetic function of an element of an historic resource using 683 materials and methods compatible with the original nature and function of that element. The 684 term "in-kind replacement" is defined as installation of a new element that duplicates the 685 686 material, dimensions, design, configuration, and detailing of the original element. The term 687 "previously disturbed soils" is defined as soil which has been disturbed in the last 50 years prior 688 to the proposed ground disturbance and which is not in a known archaeological site. 689 1. Site Work 690 a. Streets, driveways, alleys, and parking areas. Repair and restriping of existing concrete or asphalt surfaces and parking areas provided that no changes are made 691 692 in width, surface, vertical alignment, or drainage. 693 b. Curbs, gutters, sidewalks, and retaining walls. Repair of existing concrete or asphalt surfaces or in-kind replacement of brick, rock, or stone materials for curbs, gutters, 694 695 sidewalks, and retaining walls. c. Site improvements. Repair or in-kind replacement of site improvements in existing 696 697 footprint including, but not limited to, fences, landscaping, and steps. 698 d. Underground utilities. Repair or replacement of existing water, sewer, natural gas, electric, or telecommunication lines less than 50 years in age. In-place repair of 699 700 septic systems if it occurs in or adjacent to the existing trench and provided there is 701 no substantial earth moving and no intrusion into undisturbed soils. Directional 702 boring of new/replacement utility lines within previously disturbed soils. 703 e. Aboveground utilities. Repair or replacement of existing wires, anchors, crossarms, 704 and other miscellaneous hardware on existing overhead lines but not including pole replacement or installation outside city limits. Water tower replacement is not 705 706 exempt. f. Street lighting and traffic signals. Repair and replacement of non-historic 707 708 streetlights, traffic signals, and traffic signs, outside of a National Register listed or 709 eligible historic district.

- g. Park and playground equipment. Installation, repair, or replacement of park and playground equipment less than 50 years in age, excluding buildings, with minimal ground disturbance.
 - h. <u>Temporary structures</u>. Installation of temporary construction-related structures including scaffolding, barriers, screening, fences, protective walkways, signage, office trailers, or restrooms.
 - Test holes and wells. Test borings, well drilling, and percolation tests that do not require a temporary or permanent new access road to the site and are 1) less than 1 meter in horizontal size and less than 0.5 meter deep, or 2) located within previously disturbed soils as defined above.
 - j. <u>Generators.</u> Temporary installation of generators. Permanent installation of generators that are placed inside existing buildings or that occupy an area less than 50 square feet behind the building they serve.
 - k. <u>Plantings.</u> Planting of grass, shrubs, bushes, and trees when in previously disturbed soils as defined above.
 - I. <u>Ground Disturbance</u>. Any proposed ground disturbance in soil 1) less than 1 m in horizontal size and less than .5 m deep, or 2) within previously disturbed soils as defined above.

2. Exterior Rehabilitation

- a. <u>Exterior repairs.</u> Repair or partial in-kind replacement of porches, columns, cornices, siding, doors, balustrades, stairs, sills, or trim.
- b. <u>Security bars.</u> Repair or partial in-kind replacement of security bars. Installation of new security bars over windows on rear elevations and the installation of metal grating at basement window wells.
- c. <u>Historic windows</u>. Caulking, weatherstripping, reglazing, and repainting of windows; installation of new window jambs or jamb liners; repairing, replacing, or reintroducing sash weights, sash chain, and sash cord; repair, in-kind replacement, or reintroduction of hardware such as lifts and locks; repair, replacement, or installation of storm windows (exterior, interior, metal, or wood) provided they match the historic shape and size of the historic prime windows and that the meeting rail coincides with that of the prime window.
- d. <u>Non-historic windows</u>. Repair of non-historic windows, addition of storm windows, and replacement with new windows sized to fit the original window openings.
- e. Exterior painting. Exterior painting of previously painted surfaces. Removal of exterior paint by non-destructive means, limited to hand scraping, low-pressure water less than 600 pound per square inch, heat plates, hot air guns, or chemical paint removers provided that the removal method is consistent with the provisions of 24 CFR Part 35, "Lead-Based Poisoning Prevention in Certain Residential Structures," and National Park Service Preservation Briefs #10: Exterior Paint

- 748 Problems on Historic Woodwork and #37: Appropriate Methods for Reducing Lead-749 Paint Hazards in Historic Housing.
 - f. Roofing. Repair or in-kind replacement of roof cladding and sheeting, flashing, gutters, soffits, and downspouts with no change in roof pitch or configuration.

 Installation of new roofing or reflective roof coatings on a flat-roofed building with a parapet, such that the roofing material is not visible from any public right-of-way.
 - g. <u>Masonry and walls.</u> In-kind repair of concrete and masonry walls, columns, parapets, chimneys, or cornices or limited in-kind replacement of damaged components including comparable brick, and mortar that matches the color, strength, content, rake, and joint width.
 - h. Awnings. Repair or in-kind replacement of awnings.
 - i. <u>Mechanical and solar systems.</u> Replacement or installation of exterior HVAC mechanical units and vents not on the front elevation and rooftop units that cannot be seen in conjunction with the front facade. Replacement or installation of rooftop solar panels and associated equipment that cannot be seen in conjunction with the front façade.
 - j. <u>Security features</u>. Installation of exterior security features and early warning devices on exiting light poles or other permanent utilities. New wiring must be sub-surface to the greatest extent possible or, where exposed, enclosed in conduit that is painted to match the existing surface.
 - k. <u>Basement bulkhead doors.</u> Replacement or repair of basement bulkhead doors and installation of basement bulkhead doors not on the front elevation.
 - I. <u>Mothballing.</u> Securing or mothballing a property by boarding over window and door openings, making temporary roof repairs, or ventilating the building in accordance with National Park Service Preservation Brief #31: *Mothballing Historic Buildings*.
 - m. <u>Accessibility ramps.</u> Grading ground paths that provide access to a building, repair of existing ramps, and installation of new non-permanent and removable ramps that do not irreversibly impact porches or railings.
 - n. <u>Foundations</u>. Below-grade repair of brick or stone foundations that does not include applying weatherproofing or sealers, and repairs to all other types of foundations.
 - o. <u>Attic vents</u>. Repair or in-kind replacement of historic attic vents in original openings or installation of new attic vents painted to match gables.
 - p. <u>Seismic and structural repairs.</u> Seismic and structural repairs of buildings and parking facilities, provided that there is no substantial earth moving such as new footings, foundation-trenching, or excavation.
 - q. <u>Chimney liners.</u> Repair or in-kind replacement of chimney liners provided that the work does not affect the exterior of the chimney.
 - r. <u>Replacement of mobile homes.</u> Replacement of deteriorated or damaged mobile homes with new mobile homes on existing pads with existing utility hook-ups.

3. Interior Rehabilitation

a. <u>Mechanical systems.</u> Installation, replacement, or repair of plumbing, HVAC systems and units, hot water heaters, furnaces, electrical wiring, security systems, and fire protection systems, provided no structural alterations are involved. Included are bathroom improvements for handicapped access, provided the work is contained within the existing restroom walls.

- b. <u>Surfaces</u>. Repair or in-kind replacement of interior surface treatment such as floors, walls, ceilings, plaster, and woodwork. If covering historic features, such as wood floors, then carpet or sheet goods (linoleum or vinyl) shall be installed in a reversible manner, either through tacking or with an underlayment so historic floors shall not be irreversibly damaged.
- c. <u>Insulation</u>. Installation of non-spray insulation in ceiling, attic, and basement spaces.
- d. Radiant barriers. Installation of radiant barriers in unoccupied attic spaces
- e. <u>Asbestos abatement</u>. Abatement or control of asbestos that does not involve removal or alteration of interior features.
- f. <u>Floors and stairs</u>. Repair and in-kind replacement of floors and stairs, replacement of carpets, and installation or repair of concrete basement floors in an existing basement.
- g. <u>Bath and kitchen fixtures.</u> Repair or replacement of bathroom and kitchen equipment and fixtures.
- h. <u>Accessibility</u>. Installation of grab bars and other such minor interior modifications to allow individuals with disabilities to have full and equal access to housing, such as alterations within an existing bathroom for physical accessibility and use (accessibility repairs), installation of wedges and removal of thresholds to facilitate access through door openings, and creation of interior ramps that are non-permanent and removable.
- i. <u>Lead paint hazard mitigation</u>. Interior lead hazard mitigation and abatement using lead-safe work practice when it is limited to washing, scraping and repainting, wallpapering, and chemical stripping of lead-painted surfaces; installation of new window jambs or jamb liners; installation of metal panning in window wells; and replacement of non-significant flat stock trim, provided that the work is consistent with the provisions of 24 CFR Part 35.140, "Prohibited methods of paint removal," and National Park Service Preservation Brief #37: Appropriate Methods for Reducing Lead-Paint Hazards in Historic Housing.
- j. Communication, access and security systems. Installation of communication, access, and security system components such as cameras, closed-circuit television, card readers, enhanced locks, alarm systems, and public address systems, provided that installation of the system hardware does not damage or cause the removal of character defining architectural features and can be easily removed in the future. New wiring will be sub-surface to the greatest extent possible or where exposed will be enclosed in conduit that is painted to match the existing surface.

- 827 828
- 829 830 831 832
- 833
- 834 835 836

- k. Mechanical conveyance systems. In-kind repair, replacement, or limited upgrading of elevators or other mechanical conveyance systems.
- I. <u>Seismic retrofits.</u> Installation of the following retrofits or upgrades, provided that such upgrades are not visible on the exterior: attic bracing, cross bracing on pier-and-post foundations; fasteners; collar ties; gussets; tie-downs; strapping and anchoring of mechanical, electrical, and plumbing equipment; concealed anchoring of furniture; installation of plywood diaphragms beneath first floor joists, above top floor ceiling rafters, and on roofs; and automatic gas shutoff valves. In masonry structures, bolts will be required to be installed through the mortar and not the stone or brick, as applicable.



APPENDIX B 837 Protocol for Responsible Entities to Adopt the HUD 838 839 Statewide Part 50/58 Programmatic Agreement **Background** 840 841 HUD assisted projects require a historic preservation compliance review, otherwise known as a 842 Section 106 review, which requires federal agencies to consider impacts their projects may 843 have on historic properties. This review process can be completed as outlined in 36 CFR Part 844 800 for individual projects, or a Programmatic Agreement (PA) may be developed as an 845 alternative to expedite the process for multiple projects. HUD developed a statewide PA that applies to HUD projects administered under both 24 CFR Part 58, when the unit of local 846 847 government is the Responsible Entity mandated to ensure compliance with the National Environmental Policy Act and all related laws and authorities under Part 58, including Section 848 849 106, and Part 50, when HUD officials conduct the environmental review. The PA includes a list 850 of project activities that are exempt from further review, provided the stipulations in the PA are 851 met. 852 Individual REs can opt to sign the PA and use its streamlining measures in their Section 106 853 reviews. Participation in the PA is voluntary. An Responsible Entity is always free to develop its 854 own separate, individual PA or conduct reviews with the standard Section 106 process. To take advantage of the stipulations in the PA, an Responsible Entity must be a party to the PA which 855 856 can be achieved through implementing the protocols below. The PA was drafted by HUD in consultation with the SHPO and with input from the ACHP. 857 858 During the initial development of the PA, HUD, through its Office of Environment and Energy, 859 facilitated consultation with statewide historic preservation organizations and federally 860 recognized Indian tribes. REs that signed at the initial stage conducted outreach to the public 861 and potentially interested parties in their jurisdiction about the PA and their intention to sign it as well as to solicit comments on the draft. Documentation of that outreach effort and 862 resulting comments were provided to HUD. The draft PA was revised based on comments 863 864 received from HUD's outreach as well as that of the REs. The PA is now executed and cannot be 865 modified, except as outlined in Stipulation XII. of the PA. The following guidelines should be used when an Responsible Entity seeks to adopt the PA to 866 867 expedite Section 106 reviews conducted under Part 58. 868 869

Protocol to Adopt the HUD Statewide PA

Initiation

An Responsible Entity should notify the HUD Region IX Regional Environmental Officer
or a Field Environmental Officer of their interest in adopting the PA for their jurisdiction.
HUD is available to support and discuss the PA process with REs and assist them
throughout the adoption process as necessary.

Public Outreach

- Before they adopt the PA, REs must inform potentially interested parties in their jurisdiction about the PA and their interest in adopting it. Such parties may include, but are not limited to, local historic preservation commissions (whether or not the city participates in the SHPO's Certified Local Governments program) and local historic preservation organizations. This can be done by notice, letter, meeting, or other means. The general public must also be informed. This can be done by notice on a local government website, in a local newspaper, or other means. REs must consider any comments received in their decision on whether to sign the PA.
- The Responsible Entity must provide HUD with documentation of their public outreach. If insufficient, HUD will provide technical assistance to the Responsible Entity on additional outreach required. HUD will provide the documentation to the SHPO when submitting the executed signature page.

Adoption of PA

- HUD will provide a signature page for each participating Responsible Entity.
- The Responsible Entity may begin using the PA for reviews effective the date of signature by the Responsible Entity and submission to HUD.
- On behalf of the Responsible Entities, HUD will notify the SHPO of new Signatories to the PA.
- HUD will post the Responsible Entity signature pages on the Section 106 Agreements section of the HUD Historic Preservation page at https://www.hudexchange.info/environmental-review/historic-preservation/section-106-agreements/

Annual Report

 Per Stipulation X. of the PA, the Responsible Entity must prepare an Annual Report with a narrative and statistical overview of the actions taken by the Responsible Entity in the previous year to implement the terms of the PA. HUD will provide data from HEROS on

903 904	the Responsible Entity's projects during the year. The Responsible Entity must provide the report to HUD and the SHPO. Any of the parties may request a follow-up discussion
905	of the report and its recommendations.
906	 REs are encouraged to use HEROS when preparing their Part 58 reviews to facilitate the
907	preparation of the annual report required by the PA.
908	
909	For more information contact your HUD Regional Environmental Officer or Field
910	Environmental Officer. A list is available here:
911	https://www.hudexchange.info/programs/environmental-review/hud-environmental-staff-
912	contacts/#region-ix-regional-and-field-environmental-officers
913	
914	

915	APPENDIX C
916	
917	Applicability of Part 50 and Part 58 to HUD Programs
918	November 2023
919	This Appendix may be amended in accordance with Stipulation XII, Amendments.
920	HUD programs that are subject to Part 50 include, but are not limited to: mortgage insurance
921	per Sections 203(b), 207, 211, 220, 221(d)(3), 223(a), 223(f), 207 and 221(d)(4) of the Nationa
922	Housing Act of 1934; Section 202 of the Housing Act of 1959; Section 811 of the Cranston-
923	Gonzalez National Affordable Housing Act of 1990; and HUD administered programs under the
924	American Recovery and Investment Act of 2009 that require accelerated processing timelines
925	HUD programs that are subject to Part 58 include, but are not limited to: the Community
926	Development Block Grant (CDBG) Program, under Title I of the Housing and Community
927	Development Act of 1974, as amended; Community Development Block Grant - Disaster
928	Recovery (CDBG-DR) and Community Development Block Grant - Coronavirus (CDBG-CV)
929	under various acts including the Disaster Relief Appropriations Act of 2013, Further
930	Continuing and Security Assistance Appropriations Act, 2017, Consolidated Appropriations
931	Act, 2017, Continuing Appropriations Act, 2018; Supplemental Appropriations for Disaster
932	Relief Requirements Act, 2017, Bipartisan Budget Act of 2018, Additional Supplemental
933	Appropriations for Disaster Relief Act, 2019; Coronavirus Aid, Relief, and Economic Security
934	(CARES) Act; HOME Investment Partnerships (HOME) Program, under Title II of the Cranston-
935	Gonzalez National Affordable Housing Act of 1990 (HOME Investment Partnership Act);
936	Emergency Solutions Shelter Grant (ESG) Program and Continuum of Care (CoC) Program,
937	under Subtitles B and C, respectively, of Title IV of the Stewart B. McKinney-Vento Homeless
938	Assistance Act; Housing Opportunities for Persons with AIDS (HOPWA) program, under the
939	AIDS Housing Opportunity Act, as amended by the Housing and Community Development Act
940	of 1992; and projects financed with competitive awards of Supportive Housing Program (SHP)
941	funds, under former Title IV, subtitle C, of the Steward B. McKinney-Vento Act; Self-Help
942	Homeownership Opportunity Program (SHOP) under Section 11 of the Housing Opportunity
943	Program Extension Act of 1996; and the Neighborhood Stabilization Program (NSP)
944	established under the Housing and Economic Recovery Act of 2008 (P.L. 110-289) (HERA) and
945	continued under the American Recovery and Reinvestment Act of 2009 (P.L. 111-005)
946	(Recovery Act) and the Dodd-Frank Wall Street Reform and Consumer Protection Act (P.L.
947	111-203) (Dodd-Frank); public housing and the Project-based Voucher Program under Title 1
948	of the United States Housing Act of 1937; the Indian Community Development Block Grant
949	(ICDBG) under the Housing and Community Development Act of 1974, as amended: the

Indian Housing Block Grant (IHBG) Program under the Native American Housing and Self Determination Act of 1996 (NAHASDA); loan guarantees for Indian housing under Section 184 of the Housing and Community Development Act of 1992; the Risk Share Program under Section 542(c) of the Housing and Community Development Act of 1992; and other programs that may be authorized from time to time.

The table on following pages includes a brief description of current HUD Programs and indicates whether they are subject to Part 50 or Part 58.



APPLICABILITY OF PART 50 AND PART 58 TO HUD PROGRAMS November 2023

Office of Multifamily Housing

The Office of Multifamily Housing provides mortgage insurance programs for apartment buildings as well as capital advance funds to construct low-income housing for elderly and disabled residents. Projects labeled apartments would typically be a Multifamily Housing program, except public housing.

Program	Description	Part 50	Part 58	
Section 221(d)(4)	New Construction or substantial rehabilitation of multifamily rental housing.	х		
Section 223(a)(7)	Refinance of HUD-insured multifamily projects with no substantial rehabilitation. No further Section 106 review due to No Potential to Cause Effects determination. If action involves purchase, Section 106 review is required.	Х		
Section 207/223(f)	Purchase or refinance of existing multifamily rental housing with some, but not substantial, rehabilitation. In 223(f) refinance, if work does not exceed Maintenance, no further Section106 review due to No Potential to Cause Effects determination.	X		
Section 241(a)	Addition or rehabilitation to an existing FHA-insured project. These loans typically involve a significant construction component.	х		
213 Cooperative	New construction, substantial rehabilitation, or purchase of Cooperative Housing.	Х		
542(c) Risk Share Program	Credit enhancements for state and local housing finance agencies for new construction, substantial rehabilitation, refinancing, and housing for the elderly.		x	
Section 202/811	Capital advance to construct new Housing for elderly and disabled persons.			
Project-based Section 8 Renewals	Capital repairs and rehabilitation of existing projects.	х		
Section 8 Transfer of Project-Based Subsidy	Transfers of rental assistance (including Section 8(bb)) to existing property with no repairs, existing properties with rehab, or new construction.	х		
Mark to Market	Reduces rents to market levels, can include rehabilitation.			
Rental Assistance Demonstration Program (RAD)	This Office of Housing Program converts public housing to private funding that is used to rehabilitate, remove, and/or construct improved public housing.	X*	X*	
* See page 117 of HUD N	* See page 117 of HUD Notice H-2019-09 PIH-2019-23 (HA) (RAD Notice Rev.4)			

Office of Healthcare Programs

Office of Healthcare Programs offers mortgage insurance programs for residential healthcare facilities and hospitals. Projects labelled "LEAN," Office of Residential Healthcare Facilities, Office of Hospital Facilities, Nursing Home, Assisted Living, or Hospital are typically Office of Healthcare Programs projects.

Program	Description	Part 50	Part 58
Section 232 or 242/223(f)	Purchase or refinance—often involves repairs, but not substantial rehabilitation.	Х	
Section 232 or 242/223(a)(7)	Refinance of HUD-insured projects with no substantial rehabilitation.	Х	
Section 232 or 242 New Construction, Substantial Rehabilitation	Projects under these sections have a significant construction component.	Х	
Section 232/241(a)	Addition or Rehabilitation to an existing FHA-insured project. These loans typically involve a significant construction component.	х	

Office of Community Planning and Development

The Office of Community Planning and Development provides grants to assist states, communities, and non-profit organizations to foster community development, affordable housing, and economic development.

Program	Description	Part 50	Part 58
Community Development Block Grants (CDBG)	Formula grants to states and localities to support neighborhood revitalization, economic development, and improved community facilities and services for lowand moderate-income persons.		х
CDBG- Disaster Recovery (CDBG-DR)	Grants for activities that support recovery from Presidentially declared disasters.		Х
CDBG – Mitigation (CDBG-MIT)	Grants for activities to mitigate future disaster risks in areas impacted by Presidentially declared disasters.		Х
Community Project Funding (CPF)	CPF grants are selected through a congressionally-directed application process and provide investment in a wide variety of projects such as housing, homelessness prevention, workforce training, public facilities, parks, resilience planning and other critical infrastructure and services.		X
HOME	Grants to increase affordable housing opportunities for low- and very low-income households.		Х
Housing Trust Fund	Grants for the construction, rehabilitation, and preservation of rental homes and for homeownership for extremely low- and very low-income families, including homeless families. Activities must meet Secretary of the Interior's Standards for Rehabilitation. Parts 50 and 58 do not apply.	N/A	N/A

Emergency Solutions	Assistance to people experiencing homelessness to		V	
Grant	quickly regain permanent housing.		Х	
HOPWA	Grants to provide housing assistance and related			
	supportive services to meet the housing needs of low-		X	
	income persons living with HIV/AIDS and their families.			
Neighborhood	Formula grants for the purpose of providing emergency		.,	
Stabilization Program 1	assistance to stabilize communities with high rates of		Х	
Neighborhood	abandoned and foreclosed home. † Competitive grants to states, local governments, and			
Stabilization Program 2	non-profits for the purpose of providing emergency			
Stabilization r rogram z	assistance to stabilize communities with high rates of	Х	Х	
	abandoned and foreclosed home. †			
Neighborhood	Formula grants for the purpose of providing emergency			
Stabilization Program 3	assistance to stabilize communities with high rates of		Х	
	abandoned and foreclosed home. †			
Pay for Success	Financing model that provides flexibility to local			
	governments to implement evidence-based solutions to	Х		
	end homelessness.			
Continuum of Care	Grants that address shelter and social needs of people		X	
(CoC)	experiencing homelessness.			
SHOP	Self-Help Homeownership Opportunity Program funds		Х	
S 11 1001	sites for volunteer-based home construction programs			
Section 108 Loan	Allows local governments to leverage portions of their			
Guarantee Program	CDBG funds into federally guaranteed loans for economic development, housing, public facility, and		Х	
	infrastructure.			
Veterans Housing	Grants to nonprofit organizations to rehabilitate the			
Rehabilitation and	primary residences of low-income veterans living with	.,		
Modification Pilot	disabilities.	X		
Program (VHRMP)				
Youth Homelessness	Grant program designed to reduce the number of youth			
Demonstration	experiencing homelessness.		Х	
Program (YHDP)				
† Legacy program that re	eallocates repaid funds.			
	Office of Lead Hazard Control and Healthy Homes			
The Office of Lead Hazard Control and Healthy Homes promotes preventive and corrective actions to				
address health and safety issues in the home environment.				
Program	Description	Part 50	Part 58	
Lead-Based Paint	Grants to state, county, tribal, and local governments to			
Hazard Reduction and	identify and control lead-based paint/dust/soil hazards			
Healthy Homes	in privately-owned rental or owner-occupied housing.		X	
Supplemental Program	Healthy Homes Supplemental grant funds identify and			
	eliminate housing-based health and safety hazards in			
	the same homes.			

Healthy Homes	Grants to federally recognized tribes for evaluation and		
Production Program	reduction/elimination of housing-based health and		Х
for Tribal Housing	safety hazards in private low-income rental or owner-		^
	occupied housing.		
Older Adult Home	Grants to make safety and functional home modification	х	V
Modification Program	repairs of low-income elderly homeowners.		^
Healthy Homes and	Grants to identify effective strategies for coordination		
Weatherization	between Lead/Healthy Homes and DOE Weatherization		
Cooperation	programs that maximize program efficiencies and	Χ	Χ
Demonstration	benefits to occupants.		
Program			

Office of Public and Indian Housing: Office of Public Housing The Office of Public Housing supports local public housing authorities that own and manage public housing properties. Program Description Part 50 Part

Program	Description	Part 50	Part 58
Public Housing Capital Funds	Funding for capital improvements to public housing, with activities that may include maintenance, rehabilitation, demolition, construction, and leasing.		Х
Public Housing Operation Funds	Funding for the operation and management of public housing units, including maintenance and rehabilitation		Х
Lead Based Paint Capital Funds (LBPCF)	Funding to identify and eliminate LBP hazards in public housing by carrying out risk assessments, abatement, and interim controls.		х
Moving to Work (MTW) Block Grant	Funding to increase affordable housing choices for low-income families, that may include acquisition, leasing, operations, rehabilitation, maintenance, demolition, and construction.		х
Choice Neighborhoods Initiative (CNI)	Planning and Implementation grants to transform distressed public housing into mixed-income neighborhoods, with activities that may include disposition, rehabilitation, maintenance, demolition, and construction.		х
HOPE VI	Funding to revitalize public housing projects in poor condition into mixed-income developments, largely based on New Urbanism, with activities that may include planning, design, acquisition, disposition, rehabilitation, maintenance, demolition, and construction.		Х
HOPE VI Main Street Program	Funding to rejuvenate older, downtowns in smaller communities (50,000 or fewer) with activities that may include acquisition, rehabilitation, maintenance, demolition, and construction. Must meet the Secretary of the Interior's Standards for Rehabilitation.	Х	

Project Based Vouchers (PBV)	PBVs may be placed into existing housing or new construction, after a one-time environmental review covering the entire scope has been completed for the aggregated project, which may include acquisition, demolition, rehabilitation, maintenance, construction, leasing, and operations. The environmental review must be completed prior to the HAP or AHAP.		Х
Tenant-based Section 8 rental assistance	Tenant-based vouchers allow tenants to choose their own housing in the private market. Exempt from environmental review including Section 106	NA	NA
Housing Choice Voucher (HCV) Homeownership Program	HCV Homeownership Program allows families assisted under the HCV program to use their voucher to buy a home and receive monthly assistance in meeting homeownership expenses; activities may include acquisition and related expenses that result in the transfer of title.		Х
Energy Performance Contract (EPC) Program	Financing technique using energy/utility cost savings from reduced energy consumption to repay the cost of installing Energy Conservation Measures, with activities that may include rehabilitation maintenance, and construction.		Х
Rental Assistance Demonstration Program (RAD)	This Office of Housing Program converts public housing to private funding that is used to rehabilitate, remove, and/or construct improved public housing.	X*	X*
Section 18 actions, including demolition and/or disposition	Section 18 authorizes a PHA to demolish and/or dispose of public housing with HUD approval if the units meet certain criteria. The review needs to encompass the maximum anticipated known project scope, and may include maintenance, rehabilitation, and construction.		X
Mixed-Finance Public Housing	Mixed finance developments include public housing units owned in whole or in part by an entity other than a PHA and are generally part of mixed-income developments; activities may include, acquisition, leasing, operations, rehabilitation, maintenance, demolition, and construction.		Х
* See page 117 of HUD N	otice H-2019-09 PIH-2019-23 (HA) (RAD Notice Rev.4)		

Office of Public and Indian Housing: Office of Native American Programs (ONAP)

The Office of Native American Programs administers housing and community development programs that benefit American Indian and Alaska Native tribal governments, tribal members, the Department of Hawaiian Home Lands, Native Hawaiians, and other Native American organizations.*

Program	Description	Part 50	Part 58
Indian Housing Block Grant (IHBG)	IHBG is a formula grant that provides a range of affordable housing activities on Indian reservations and Indian areas, including housing development, assistance to housing developed under the Indian Housing Program, housing services to eligible families and individuals, crime prevention and safety, and model activities that provide creative approaches to solving affordable housing problems.		х
Indian Community Development Block Grant (ICDBG)	ICDBG provides direct grants for use in developing viable Indian and Alaska Native Communities, including decent housing, a suitable living environment, and economic opportunities, primarily for low and moderate income persons.		х
Indian Home Loan Guarantee Program (Section 184)	Home mortgage product specifically designed for American Indian and Alaska Native families, Alaska villages, tribes, or tribally designated housing entities.		х
Title VI Loan Guarantee Program	Title VI is a financial tool that allows federally recognized tribes and Tribally Designated Housing Entities (TDHE) to finance affordable housing activities. Activities may include rehabilitating housing, building infrastructure, construction community facilities, and acquiring land to use for housing.		Х
Tribal HUD-Veterans Affairs Supportive Housing (VASH)	VASH provides rental assistance and supportive services to Native American veterans who are homeless or at risk of homelessness living on or near a reservation or other Indian areas.	х	Х

^{*} This Section 106 Programmatic Agreement is not applicable to undertakings that are located on or that would affect historic properties on tribal trust lands.