



Privilege License Application: PART I - Financial Questionnaire

Notice to Applicant: Please read this form carefully and furnish all related documents. **Answers must be complete and truthful. Do not leave any spaces blank. Answer "N/A" to any question that is not applicable. Failure to properly complete the form and provide required accompanying documents could result in a delay processing the application or in a denial of the license.** The Department reserves the right to request additional documents as necessary in order to conduct its background investigation. Must be submitted with a General License Application and PART II - Personal History Questionnaire.

Name of Applicant (Operating Entity) _____

DBA _____

Business Name (As it should appear on the license) _____

Business Address (with city, state, zip) _____

Mailing Address (with city, state, zip) _____

Business Telephone (with area code) _____

Name & Title of Company Representative _____ Business Telephone (with area code) _____

1. Name of owner(s) of property where business will be conducted: _____
 2. Does property owner have an owner share in the business?: Yes (_____ %) No
 3. Will property owner share in profits of the business or otherwise participate in operations?: Yes No
(If yes, please provide details on a separate sheet).
- Address of property owner: _____
4. Has this business entered into any agreement that could result in a transfer, pledging, or encumbrance of any ownership share in the future or does the company intend to enter into such an agreement in the future?
 Yes No

5. Ownership
(Provide information for all individuals or entities that have an ownership share in this business. The form must account for 100% of the capital invested in this business. If additional space is required, please use additional form. For LLCs, limited partnerships, or publicly traded corporations with numerous minor investors, individual ownership interests of less than 1% may be grouped as one line item, provided an explanation is supplied below. Use additional sheets as necessary. Provide stock certificate or other legal proof of ownership for each entity or individual listed below).

Name & Title of Owner	Address & Telephone	Owner Percentage	Amount
Total Capital Invested:		100%	

Applicant/Preparer Initials _____ / _____

6. Officers and Key Personnel

(Provide information regarding all key personnel involved in the business, including all Corporate Officers, Managing Partners, Managers in LLC, etc. Individuals having significant management authority or decision-making roles in the operation of the business must be included regardless of title. Include any individual having the authority to hire or fire employees, obtain credit or take out loans, or enter into contracts and/or sign agreements on behalf of the business)

Name	Title	Address	Phone

7. Ownership History

(Provide a summary of changes in owner's equity in the past five years. Include all capital infusions and distributions. For new investment capital received, provide information regarding the use of the funds received from investors. If there have been no changes in ownership over the past five years, please so state below.)

Date of Transaction	Owner's Name & Address	Capital Amount Invested or Withdrawn	Use of New Investment Capital

8. Has a tax lien ever been filed against this business by any government agency?
(If yes, provide details on a separate page. Provide any documentation regarding the lien. If the lien has been released, attach a copy of the release.)

Yes No

9. Has this business ever filed for bankruptcy protection? *(If yes, furnish details and/or supporting documentation on a separate page.)*

Yes No

10. Does the business own or control any assets or liabilities outside the United States? *(If yes, furnish details and/or supporting documentation on a separate page.)*

Yes No

11. Has this business ever filed for and been denied, or withdrawn an application for, a business or professional license in any jurisdiction or has the company ever had a business license or professional license that was revoked or suspended? *(If yes, furnish details and/or supporting documentation on a separate page.)*

Yes No

Applicant/Preparer Initials _____/_____

12. If a publicly traded corporation, has this business ever been investigated by the SEC? (If yes, please provide date, details, and sanctions, if any.)

Not applicable - Not publicly traded Yes No

13. Is this business contingently liable to any other party in a matter that is yet to be resolved? (If yes, provide a complete description of the matter in which the company is contingently liable, describe the circumstances that would result in establishment of an actual liability, estimate the likelihood of such an event occurring, and provide a high and low estimate of the potential financial exposure.)

Yes No

14. Were there any litigations to which the company was a party over the past year? (If yes, Include date filed, name and address of the court, docket or case number, other parties to suit, nature of suit, date of disposition. Provide copies of all related court documents, including summons, complaint, and motion disposing of each matter.)

Yes No

15. Are there any agreements that would result in an ownership share in the company being obtained by another individual or entity (stock subscription agreements, issued stock options, profit sharing plans, etc.)?

Yes No

Please note that additional documents may be required during the investigation.

Applicant/Preparer Initials _____ / _____

16. Source of Funding and Expenditures

A. Source of All Funds (Provide Documented Evidence Where Applicable)

Personal or Corporate Funds: Attach schedule showing each person/entity and amounts invested. Also provide bank statements or other documents showing source where money is held:	
Loans from lending institutions: Attach copies of all loan agreements:	
Cash Borrowed , Gifted or Loaned from a Personal Source: Attach a schedule of name, relationship and amount:	
Sale of Property:	
Source of Money kept at home: Provide explanation where money came from:	
Deferred Contracts (Promissory Notes):	
Reimbursed leasehold, tenant improvements or construction allowance:	
Other:	
Total Funds \$	

B. Expenditures & Other Disposition of Funds Prior to Opening:

Business Purchase Price (provide copy of purchase agreement):	
Land:	
Buildings (include construction, repair, and/or remodel costs):	
Property Lease payments and deposits:	
Leasehold Improvements:	
Fixtures & Equipment:	
Inventory and Supplies:	
Prepaid Expense (insurance, etc.):	
Legal, accounting and consulting expenses:	
Advertising expense:	
Salary Expense:	
Interest Expense	
Government fees and Taxes (permits, bonds, license fees and/or taxes paid to government agencies):	
Other Expenses:	
Total Expenditures \$	

C. Cash Available for operation (A minus B):	\$	
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Applicant/Preparer Initials _____ / _____