



## Privilege License Application: PART I - Financial Questionnaire

**Notice to Applicant:** Please read this form carefully and furnish all related documents. **Answers must be complete and truthful. Do not leave any spaces blank. Answer "N/A" to any question that is not applicable. Failure to properly complete the form and provide required accompanying documents could result in a delay processing the application or in a denial of the license.** The Department reserves the right to request additional documents as necessary in order to conduct its background investigation. Must be submitted with a General License Application and PART II - Personal History Questionnaire.

Name of Applicant (Operating Entity) \_\_\_\_\_

DBA \_\_\_\_\_

Business Name (As it should appear on the license) \_\_\_\_\_

Business Address (with city, state, zip) \_\_\_\_\_

Mailing Address (with city, state, zip) \_\_\_\_\_

Business Telephone (with area code) \_\_\_\_\_

1. Name of owner(s) of property where business will be conducted: \_\_\_\_\_
2. Does property owner have an owner share in the business?:  Yes ( \_\_\_\_\_ %)  No
3. Will property owner share in profits of the business or otherwise participate in operations?:  Yes  No

**4. Ownership**

*(Provide information for all individuals or entities that have an ownership share in this business. The form must account for 100% of the capital invested in this business. If additional space is required, please use additional form. For LLCs, limited partnerships, or publicly traded corporations with numerous minor investors, individual ownership interests of less than 1% may be grouped as one line item, provided an explanation is supplied below. Use additional sheets as necessary. **Provide stock certificate or other legal proof of ownership for each entity or individual listed below.**)*

Name & Title of Owner	Address & Telephone	Owner Percentage	Amount
<b>Total Capital Invested:</b>		<b>100%</b>	

Applicant/Preparer Initials \_\_\_\_\_ / \_\_\_\_\_

5. Does the business own or control any assets or liabilities outside the United States? *(If yes, furnish details and/or supporting documentation on a separate page.)*

Yes     No

6. If a publicly traded corporation, has this business ever been investigated by the SEC? (If yes, please provide date, details, and sanctions, if any.)

Not applicable - Not publicly traded     Yes     No

7. Were there any litigations to which the company was a party over the past year? (If yes, Include date filed, name and address of the court, docket or case number, other parties to suit, nature of suit, date of disposition. Provide copies of all related court documents, including summons, complaint, and motion disposing of each matter.)

Yes     No

8. Are there any current or future agreements that would result in an ownership share in the company being obtained by another individual or entity (stock subscription agreements, issued stock options, profit sharing plans, etc.)?

Yes     No

***Please note that additional documents may be required during the investigation.***

Applicant/Preparer Initials \_\_\_\_\_/\_\_\_\_\_

**9. Source of Funding and Expenditures**

**A. Source of All Funds (Provide Documented Evidence Where Applicable)**

<b>Personal or Corporate Funds:</b> Attach schedule showing each person/entity and amounts invested. Also provide bank statements or other documents showing source where money is held:	
<b>Loans from lending institutions:</b> Attach copies of all loan agreements:	
<b>Cash Borrowed , Gifted or Loaned from a Personal Source:</b> Attach a schedule of name, relationship and amount:	
<b>Sale of Property:</b>	
<b>Source of Money kept at home:</b> Provide explanation where money came from:	
<b>Deferred Contracts (Promissory Notes):</b>	
<b>Reimbursed leasehold, tenant improvements or construction allowance:</b>	
<b>Other:</b>	
<b>Total Funds \$</b>	

**B. Expenditures & Other Disposition of Funds Prior to Opening:**

<b>Business Purchase Price</b> (provide copy of purchase agreement):	
<b>Land:</b>	
<b>Buildings</b> (include construction, repair, and/or remodel costs):	
<b>Property Lease payments and deposits:</b>	
<b>Leasehold Improvements:</b>	
<b>Fixtures &amp; Equipment:</b>	
<b>Inventory and Supplies:</b>	
<b>Prepaid Expense</b> (insurance, etc.):	
<b>Legal, accounting and consulting expenses:</b>	
<b>Advertising expense:</b>	
<b>Salary Expense:</b>	
<b>Interest Expense</b>	
<b>Government fees and Taxes</b> (permits, bonds, license fees and/or taxes paid to government agencies):	
<b>Other Expenses:</b>	
<b>Total Expenditures \$</b>	

<b>C. Cash Available for operation (A minus B):</b>	<b>\$</b>
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Applicant/Preparer Initials \_\_\_\_\_ / \_\_\_\_\_